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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/601,996	06/23/2003	Ronald Pfeifer	DE920010116USI	9824
30206	7590	05/29/2007	EXAMINER	
IBM CORPORATION			TRAN, MYLINH T	
ROCHESTER IP LAW DEPT. 917				
3605 HIGHWAY 52 NORTH			ART UNIT	
ROCHESTER, MN 55901-7829			PAPER NUMBER	
			2179	
			MAIL DATE	
			DELIVERY MODE	
			05/29/2007	
			PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/601,996	<b>Applicant(s)</b> PFEIFER ET AL.	
	<b>Examiner</b> Mylinh Tran	<b>Art Unit</b> 2179	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 15 March 2007.  
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.  
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
6) ☒ Claim(s) 1-19 is/are rejected.  
7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.  
10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

Applicant's Amendment filed 03/15/07 has been entered and carefully considered. Claims 1 and 9 have been amended. Claims 17-19 have been added. However, the limitations of the amended and new claims have not been found to be patentable over prior art of record, therefore, claims 1-19 remain rejected under the same ground of rejection as set forth in the Office Action mailed (12/15/06).

#### ***Specification***

The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required:

Claims 9-16, the term "A computer-readable program" is not defined in the specification.

#### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 17-19 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The

subject matter of "analyzing said user navigation information to identify differences between the behavior of users navigating within said web site during a first subset of said plurality of user web sessions and the behavior of users navigating within said web site during user web sessions which are not within said first subset of said plurality of user web sessions..." is not properly described in the specification as filed.

The applicant is requested to identify by suitable reference to pages and line numbers and/or drawing figures the subject matter in the specification.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the

applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Venkatesan [US. 6,928,474].

**As to claims 1 and 9**, Venkatesan teaches a method for analyzing user behavior in a man-machine interface of a data processing system in which user action is tracked, characterized by the steps of: defining at least one element associated with user navigation within said man-machine interface occurring during a user session (column 2, lines 29-43); storing user navigation information from a plurality of said user sessions, said user navigation information being associated with said at least one element and reflecting the user navigation behavior within said man-machine interface occurring during said plurality of said user sessions (column 2, lines 49-65); correlating, within said user navigation information, said at least one success element to user navigation behavior within said man-machine interface occurring during said plurality of said user sessions (column 2, line 61 through column 3, line 21), and performing a statistical analysis on a plurality of different sets of navigation information collected in respective different user sessions (figures 2-3).

Venkatesan fails to clearly teach at least one success element associated with user navigation, however suggested that the number of completed transactions in a given time period being taught at column 2, lines 45-47. Venkatesan also

suggests plurality paths which a user takes during a plurality of the user sessions (figure 4, 50-56).

Official notice is taken that implementation of at least one success element associated with user navigation. It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine the well known implementation with the Venkatesan's teaching. Motivation of the combination would have been for the advantage of reliability of an interface.

**As to claims 2 and 10**, Venkatesan teaches user navigation information being collected from user navigation when visiting a Website (column 3, lines 5-45).

**As to claims 3 and 11**, Venkatesan also teaches the step of graphically representing results of said statistical analysis in a graph-like form (figures 2-3).

**As to claims 4 and 12**, Venkatesan teaches the step of filtering analysis results according to one or more success elements (column 3, lines 45-60).

**As to claims 5 and 13**, Venkatesan also teaches a success element definition, location information associated with said success element, time information associated with a user action related to said success element, and session information identifier which allows to identify different users (column 6, lines 33-60).

**As to claims 6 and 14**, Venkatesan teaches user navigation information being collected from user navigation in a user application program (column 4, lines 40-65).

**As to claims 7 and 15**, Venkatesan also teaches after a predetermined level of collected navigation data has been achieved, changing the man-machine interface such that user preferences are displayed in an emphasized way (column 4, lines 40-65).

**As to claims 8 and 16**, Venkatesan fails to teach at least parts of the non-preferred rest of said man-machine interface being displayed in a background way. However, the non-preferred rest to said man-machine interface being displayed in a background way was well known in the computer art. It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine the well known implementation with Venkatesan. Motivation of the combination would have been to provide user a man machine interface for those who might need.

**As to claim 17**, Venkatesan teaches a method for analyzing user behavior in a man-machine interface of a data processing system in which user action is tracked, characterized by the steps of: defining at least one element associated with user navigation within said man-machine interface occurring during a user session (column 2, lines 29-43); said at least one element comprising at least one user input indication successful completion of an operation by a user during said user web session (column 4, lines 50-56, the user navigates from page to page to complete a successful transaction on the webpage and column 2, lines 42-46, Venkatesan cited "...the number of completed transactions in a given time period.." representing one success element comprising at least one user input).

storing user navigation information from a plurality of said user sessions, said user navigation information being associated with said at least one element and reflecting the user navigation behavior within said man-machine interface occurring during said plurality of said user sessions (column 2, lines 49-65); correlating, within said user navigation information, said at least one element to user navigation behavior within said man-machine interface occurring during said plurality of said user sessions (column 2, line 61 through column 3, line 21), and performing a statistical analysis on a plurality of different sets of navigation information collected in respective different user sessions (figures 2-3).

analyzing said user navigation information to identify differences between the behavior of users navigating within said web site during a first subset of said plurality of user web sessions and the behavior of users navigating within said web site during user web sessions which are not within said first subset of said plurality of user web sessions, said first subset of said plurality of user web sessions being user web sessions of said plurality of user web sessions for which at least one said success element as defined by said defining step is associated with user navigation of said interface provided by said web site during the respective user web session, said first subset being fewer than all said user web sessions (column 4, lines 49-51, a plurality of paths is analyzed to identify differences between the behavior of user navigating within the website. The first path is different from the second path and so on....The



behavior of user navigating is different representing a plurality of different paths).

Venkatesan fails to clearly teach at least one success element associated with user navigation, however suggested that the number of completed transactions in a given time period being taught at column 2, lines 45-47. Venkatesan also suggests plurality paths which a user takes during a plurality of the user sessions (figure 4, 50-56).

Official notice is taken that implementation of at least one success element associated with user navigation. It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine the well known implementation with the Venkatesan's teaching. Motivation of the combination would have been for the advantage of reliability of an interface.

**As to claim 18**, Venkatesan fails to clearly teach at least one success element comprising at least one user input indicating successful completion of an on-line purchase by the user during said web session, however suggested that the number of completed transactions in a given time period being taught at column 2, lines 45-47. Venkatesan suggests the number of completed transactions in a given time period at column 2, lines 45-47.

Official notice is taken that implementation of at least one success element comprising one user input. It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine the well known

implementation with the Venkatesan's teaching. Motivation of the combination would have been for the advantage of reliability of an interface.

**As to claim 19**, Venkatesan teaches the step of modifying said interface provided by said web site responsive to said differences identified by said analyzing step (column 6, lines 51-67).

### **Response to Arguments**

The applicant has admitted the Venkatesan's system discloses a system for collecting data with respect to user navigation of a web site, analyzing the data, and modifying the web site in response to the analysis, which is similar to the system disclosed by applicants. However, applicant has argued that Venkatesan does not disclose the use of a "success element" as the term is used in applicant's claims, i.e. a success element associated with a user's navigation, which is correlated to the user's navigation behavior.

However, the examiner respectfully disagrees because the prior art, as disclosed at column 2, lines 45-47, shows that the number of completed transactions in a given time period. The user has been through a successful path to complete a transaction in the web session. The successful path is considered as success element. Thus, the success element associated with a user's navigation is taught in the Venkatesan's system.

Venkatesan, as disclosed at column 4, lines 50-56, shows the user navigating from page to page to complete a successful transaction on the webpage, and at column 2, lines 42-46, shows "...the number of completed transactions in a

given time period..". The transaction requests at least one user input to make it successfully.

### **Conclusion**

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mylinh Tran. The examiner can normally be reached on Mon - Thu from 7:00AM to 3:00PM at 571-272-4141.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Weilun Lo, can be reached at 571-272-4847.

The fax phone numbers for the organization where this application or proceeding is assigned are as follows:

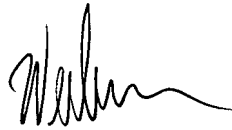
571-273-8300

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mylinh Tran

Art Unit 2179



**WEILUN LO**  
**SUPERVISORY PATENT EXAMINER**